Does Direct Instruction Develop Pragmatic Competence? Teaching Refusals to EFL Learners of English

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Abstract—To achieve communicative competence, L2 learners' need to develop their pragmatic competence and this may be fostered with the help the learners receive from their teachers. This paper is an attempt to investigate the efficacy of explicit instruction of refusal at pragmatic level to four types of acts- invitations, suggestions, offers and requests. Adopting a pretest/posttest design as with treatment and control group, the two groups in this study were exposed to the treatment. Data collected by means of written Discourse Completion Test (DCT) as well as written self report suggest that the instructional approach resulted in gain in L2 pragmatic ability of the experimental group. The delayed posttest used in the study confirmed the findings. The findings may contribute to the interlanguage pragmatic pedagogy, especially in the EFL context and suggest that meta-pragmatic information the L2 learners received through pedagogy may lead to learners' L2 pragmatic development.

Index Terms—pragmatics, pragmatic competence, explicit instruction, refusals

After long years of equating second language learning with linguistic accuracy, there is now a general consensus that while grammatical competence is an integral part of language competence, it cannot be equated with grammatical knowledge. Based on different models of communicative competence proposed in the history of applied linguistics in order to be communicatively competent a second language learner needs something more than grammatical knowledge. With the redefinition of the communicative competence and inception of communicative approach, primary importance was given to the functional abilities in the second language. This functional ability has the purpose of understanding and producing language that is appropriate to communicative situations in accordance with specific sociocultural factors (Rueda, 2006). Meanwhile, there is the issue of pragmatic competence as another aspect of communicative competence is defined as knowledge of communicative action, how to carry it out, and the ability to use language appropriately according to contextual factors (Kasper, 1997). It is believed that whenever there is a failure to observe these parameters communication breakdowns or misunderstanding may occur (Thomas, 1983). Therefore, in case of second language learners' their utterances may be regarded as meaningless or rude.

Non-native speakers of a language do not often demonstrate their capability of using such ability and it has been suggested that explicit pragmatic instruction be incorporated in the second language curricula to help second language learners with the deficiency (e.g. V ásquez et al., 2009). This includes both pragmalinguistics and sociopragmatics as the two areas of pragmatics which should be acquired by second language learners for the purpose of achieving full communicative competence in a target language.

Justification for direct instruction has been provided with cognitive psychology. The prevalent assumption of 1980's that language learning can take place without some degree of consciousness is not theoretically viable and hotly debated (Nassaji and Fotos, 2004). Based on Ellis (2008), the role of *unconscious learning* has been exaggerated in this decade neglecting the fact that conscious attention to form, or what has been called "noticing" is a necessary condition for language learning (Nassaji and Fotos 2004). According to Schmidt (1995), acquisition must entail awareness and that "learning requires awareness *at the time of learning*" (p. 26). To stress the importance of consciousness, Schmidt (1995) also states that "whatever is noticed in the input will become intake for learning" (p. 20), whether this noticing is unintentional or deliberate; if it is noticed it will become intake. (Schmidt, 1995). It is such view of noticing which may provide the rationale for the explicit teaching of pragmatics in second language context.

Instructional suggestions as to the role of pragmatics have also been supported by scholars such as Kasper & Schmidt (1996) and Bardovi-Harlig (1999). Rueda (2006) holds that the findings of such studies back up the assumption about the positive effect of pedagogical intervention, and, as he maintains, "in this way the view that pragmatic ability can be systematically developed through planned classroom activities" (p. 170). Kasper & Rose (2001) also refer to different current studies which have aimed at investigating learners' use and acquisition of L2 pragmatic ability mention that the findings suggest that pragmatic ability can be taught. (Yoshimi, 2001).

It is assumed that second language contexts is more advantageous than foreign language settings for learning pragmatic rules this is, as Kasper (2001) notes, learners in a second language context are fully exposed to the target language in the real-life situation and they have opportunity to use it in the appropriate contexts. As Martinez-Flor and Uso Juan (2006) note "[T]his fact allows them to develop their pragmatic ability, since they may become involved in situations where they are required to interpret utterances in context or interact with a variety of participants in different environments" (p. 51). Contrary to second language learners in the real-life context, learners who are learning the language in a foreign language context do not have the chance to get involved in communicative situations in order to fully develop their language. Therefore, creating conditions to developing learners' pragmatic competence is not only necessary for both those who are studying the foreign language contexts, but also for the learners in the second language context. Martinez-Flor and Uso Juan (2006) put it in this way

Indeed, in spite of all the advantages that these particular settings may offer for pragmatic development, it has been claimed that, even after a long period of contact with the target language, some pragmatic aspects still continue to be incomplete (p. 51).

It should be mentioned that pragmatic competence is not just synonymous with appropriate use of language. Brock and Nagasaka (2005) explain what pragmatic competence includes by saying that,

[it] encompasses a variety of abilities in the use and interpretation of language in context.... These include a speaker's ability to use language for different purposes (such as greeting, requesting, informing, demanding and so on), the speaker's ability to adapt or change language according to the needs or expectations of the listener or situation, and the speaker's ability to follow accepted rules; the maxims, if you will, for conversation and narrative. (p. 19).

In this sense, a growing body of research has focused on the importance of pragmatics in second language acquisition. A substantial body of these studies has demonstrated that there is a need for explicit pragmatics instruction. For example, Tanaka (1997) found that in order to gain mastery of communicative competence, L2 learners have to acquire pragmatic competence. In a similar study, Koike (1997) concluded that although adult second language learners have gained a satisfactory command of the L2 grammatical and lexical knowledge, they are often not able to produce pragmatically appropriate utterances.

One of the sensitive pragmatic issues, among others, is the refusal involved in daily communication of native speakers. In refusal situations a variety of forms are employed. If second language learners are not familiar with different ways of offering refusals, their addresses in the second language context may misunderstand them. But what is refusal? As Al-Kahtani (2005) explains "a refusal is to respond negatively to an offer, request, invitation, etc." (p. 3). He adds that "since expressing 'no' is not easy for non-native speakers, how one says 'no' is more important in many societies than the answer itself" (p. 3). Lingli and Wannaruk (2010) refer to Brown & Levinson (1987) who define refusal as "a face-threatening and affectively negative speech act and can be characterized as a response to another's act (e.g., request, invitation, offer, suggestion), rather than as an act initiated by the speaker" (p. 94). They are considered to be face threatening since the listener's or speaker's positive or negative face is at risk whenever a refusal is called for or carried out. Lingli and Wannaruk maintain that since refusals are typically used by the second pair in the interaction, preplanning for them is not possible and demanding. This makes them more sensitive and complicated to be incorporated in an instructional course.

Related literature shows that a few studies have dealt with the explicit instruction of refusals in English (i.e., King & Silver, 1993; Morrow, 1995; Silva, 2003) especially in foreign language context. The main feature of the studies conducted on explicit teaching of pragmatics is that the instructional time is relatively short. Moreover, none of these studies employed control group in their design. The teaching procedures in different studies on the issue also vary, and such variation may have contributed to different results of the studies. Furthermore, to the authors' knowledge, nearly all of these studies are just of qualitative design.

Due to the delimitations of the studies carried out on refusals in the English language and because of the fact that such studies in the English as a Foreign Language (EFL) context, especially in the context of Iran is quite limited, the present study was carried out with the purpose of investigating the effect of teaching refusals explicitly to Iranian University students. Therefore, the question to be answered was as follows:

1. Does explicit instruction have a significant impact on Iranian EFL learners' production of linguistically accurate and pragmatically appropriate requests?

I. METHOD

A. Participants

The participants in this study were 64 Iranian intermediate university students, age, 19-25. Because the necessity of working with intact groups makes random assignment impossible, the research was an intervention study; therefore, it was quasi-experimental.

B. Instructional Targets

Different kinds of English refusals have been found. These refusals, based on Lingli and Wannaruk (2010) include four types: refusing suggestions, refusing invitations, refusing requests, and refusing offers. Each type of these refusals has different kinds of statuses. For example, refusing a person of higher status differs from refusing a person of lower status. The instructional targets in this study were manly chosen from Wannaruk (2008). Based on Wannaruk refusal strategies are classified based on refusal types, refuser statuses, and refusals strategies. "I'll be doing my homework that night." is an example for "explanation" strategy.

C. The Instruction

To investigate the research question, an explicit instruction was used I the study. Following Yoshimi (2001), the following steps were taken to teach pragmatics to learners.

1. Presentation of learning targets:

a. Learners listen to the dialogue in which segment of the speech act-here refusal-is embedded.

b. Learners answer some questions which are meaning focused.

2. Explaining learning targets:

a. Teacher explaining explicitly about the function and use of refusal strategies

b. Teacher leading a discussion and comparison of Farsi and American English refusals strategies

3. Planning sessions

a. Learners planning the production nonformal, extended discourse

b. Learners listening to the dialogues again

c. Learners preparing for role play based on the situation in the dialogues

d. Learners working in pairs

e. Teacher offering an explicit use in refusal strategies whenever learners need

4. Communication session

a. Learners having communicative practice of the target items in conjunction with extended discourse

b. Teacher selecting several pairs to act out the dialogue

5. Feedback

a. Corrective feedback: providing feedback on the use of target items and the production of extended discourse

b. Teacher correcting explicitly any inappropriate use

The most important stage of the instruction is explaining learning targets. Based on this stage, the function and use of refusal strategies was explained by the teacher and later refusal strategies were compared in the source and target languages (Lingli and Wannaruk, 2010). The second stage which was of a great importance was the feedback stage which led the participants create their utterances using the speech acts. This was followed by the teacher's necessary correction of inappropriate utterances made by the students.

II. PROCEDURE

The experimenter randomly assigned the 64 participants to one of two experimental and control group. The two groups were tested with a pretest and a posttest. The pretest was conducted two weeks prior to treatment. The posttest was conducted one day after the treatment and the posttest two weeks after the posttest. Both the pretest, posttest and the delayed posttest were the same written Discourse Completion Task (DCT) (see Appendix). The DCT was adapted from a study by Lingli and Wannaruk (2010). There were some necessary changes in the questionnaire; some items were deleted and substituted with those which were in line with the purpose of the study and some ambiguous ones were paraphrased. DCT items typically include a situational description which is followed by a brief dialogue. In these kinds of tests, the participant is required to complete an open slot so that a specific communicative act is elicited.

To answer the test, 30 minutes time was given to the participants. However, when they found any point unclear, they could ask the teacher for help.

There were four aspects of appropriacy as rating criteria and the analytic Likert 5 for marking was employed. Therefore, the scale of 5 indicates "completely appropriate"; the scale of 4 refers to "mostly appropriate"; the scale of 3 as "generally appropriate"; the scale of 2 means "not very appropriate but acceptable"; the scale of 1 indicates "not appropriate and not acceptable".

For the DCT scoring, two bilingual English speaking ELT teachers residing in Iran were chosen.

To confirm participants' answers to the written DCT (Kasper & Rose, 2002) and in order to triangulate the data, a written self report was conducted after the delayed posttest. The self report was taken from Duan (2008) and modified. These reports presented learners' views of the appropriateness of refusals they had been instructed (See the Appendix). The self report consisted of four questions and participants were required to answer the questions either in Farsi.

To categorize the self-report data the following steps were taken. Firstly, the participants were allowed to answer the questions either in Farsi or English. Secondly every single opinion was identified in detail. Thirdly, the researcher was careful to find the differences and similarities between the participants' statements in order to classify them. Fifthly, these statements were grouped roughly into different categories and finally, the conclusions were drawn from the categories made.

III. RESULTS

If we take a look at Table 1 which is named "Descriptive statistics of the pre-test taken by both control and experimental groups", we will realize that the difference between the two groups regarding their mean and SD is not significant. As we see, the SD of Control Group Pre-test is 2. 75897 and the SD of Experimental Pre-test is 2.99395. The range in both of them is 10 and their mean is approximately the same (Control Group Pre-test Mean is 14.5313 and Experimental Pre-test Mean is 14.5625). Nevertheless, if we see Table 2., we will realize that in Post-Test Control Group Standard Deviation is 2.29919 while Post-Test Experimental Group Standard Deviation is 4.60616 (Which is approximately double that of the Post-Test Control Group SD). In the meantime, both groups have very much different Means. Put another way, Post-Test Control Group Mean is 14.5625 but Post-Test Experimental Group Mean is 51.5938. This shows a drastic change in the performance of the Experimental Group

TABLE 1.	
DESCRIPTIVE STATISTICS OF PRE-TEST CONTROL/EXPERIMENTAL GROUPS	

Descrit tive Statistics of the test Control/Externine Orobits							
	N	Range	Minimum	Maximum	Mean	Std. Deviation	Variance
PreCGroup	32	10.00	10.00	20.00	14.5313	2. 75897	7.612
PreEGroup	32	10.00	10.00	20.00	14.5625	2.99395	8.964
Valid N (listwise)	32						

TABLE 2.

DESCRIPTIVE STATISTICS OF POST-TEST CONTROL/EXPERIMENTAL GROUPS							
	Ν	Range	Minimum	Maximum	Mean	Std. Deviation	Variance
PoCGroup	32	8.00	11.00	19.00	14.5625	2.29919	5.286
PoEGroup	32	15.00	43.00	58.00	51.5938	4.60616	21.217
Valid N (listwise)	32						

Meanwhile, if we take a look at Table 3., we will notice the results based on paired t-test which showed that there was no significant difference between the performance of subjects in the Pre-test Control Group and that of the Experimental Group. The amount of Observed T with 95% Confidence interval of the differences with 31 degree of freedom was -.111 which is by far lower than what it should be to show a meaningful difference between the performance of the Control and Experimental Groups. (To be meaningful, the amount of Observed T with 95% Confidence interval of the differences with 31 degree of freedom must be at least 2.040). So, it can be concluded that the difference between the two groups regarding their performance was not significant. Put another way, no superiority of one group over the other was observed. However, Table 4. (Post-test Control/Experimental groups Paired t-test), shows us a major difference between the performance of subjects in the two groups. In other words the amount of Observed T with 95% Confidence interval of the difference between the two groups (The Experimental Group performed by far much better that the Control Group).

PAIRED T-TEST OF PRE-TEST CONTROL/EXPERIMENTAL GROUPS								
	Paired Differences							
	Mean	Std. Dev.	Std. Error Mean	95% Confidence the Difference	ce Interval of	t	df	Sig. (2- tailed)
				Lower	Upper			
Pair 1	03125	1.59605	.28214	60669	54419	111	31	.913
PreCGroup/PreEGroup								

TABLE 4.

TABLE 3. AIRED T-TEST OF PRE-TEST CONTROL/EXPERIMENTAL GROUPS

PAIRED T-TEST OF POST-TEST CONTROL/EXPERIMENTAL GROUPS								
	Paired Differences							
	Mean	Mean Std. Dev. Std. Error 95% Confidence Interval of the t df Sig. (Sig. (2-	
			Mean	Difference tailed)				tailed)
				Lower	Upper			
Pair 1	-37.03125	5.13988	.90861	-38.88437	-35.17813	-40.756	31	.000
PostCGroup/PostEGroup								

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To be more confident, certain and sure that the treatment was the only reason for the difference made between the two groups and nothing else a second post test called "The Delayed Post-Test" was administered in which both The Control and The Experimental Group took the test for the third time with the results shown in Tables 5 and 6.

Table 5. shows that there is still a big difference between the Control and Experimental Groups' Means in the Delayed Post Test (14.3437 vs. 50.5000). Meanwhile, the Control Group Standard Deviation in the Delayed Post Test is almost half of that of the Experimental Group (2.45750 vs. 4.72468).

Meanwhile, regarding the paired t-test results gained for the Control/Experimental Groups' Delayed Post-Test (as seen in Table 6), we can see that the amount of observed t is still -40.106 which means that there is still a significant difference between the two groups regarding their performance on the test results. In other words, after two weeks, still the Experimental Group had a much higher performance than the Control Group in the test given after the treatment. So, we can be more confident that the results gained are due to the treatment.

	N	Range	Minimum	Maximum	Mean		Mean		Mean		Std. Deviation
	Statistic	Statistic	Statistic	Statistic	Statistic	Std. Error	Statistic				
DPosCGroup	32	9.00	10.00	19.00	14.3437	.43443	2.45750				
DPosEGroup	32	17.00	41.00	58.00	50.5000	.83521	4.72468				
Valid N (listwise)	32										

TABLE 5. DESCRIPTIVE STATISTICS OF CONTROL/EXPERIMENTAL GROUPS' DELAYED POST-TEST

TABLE 6.
PAIRED T-TEST OF CONTROL/EXPERIMENTAL GROUPS' DELAYED POST-TEST

	Paired Differe	Paired Differences						
	Mean	Std. Dev.	Std. Error Mean	95% Confidence Interval of the Difference		t	df	Sig. (2- tailed)
				Lower	Upper			
Pair 1	-36.15625	5.09971	.90151	-37.99489	-34.31761	-40.106	31	.000
DPosCGroup/DPosEGroup								

IV. DISCUSSION

The research question sought to investigate the effect of explicit teaching of refusals to EFL learners. Based on the findings, it can be argued that instruction has a significantly affected participants' use of refusal. This study seems to provide evidence supporting Schmidt's (1990) idea which regarded noticing as an important condition for acquisition. According to Schmidt, awareness is required for learning to take place and noticing is needed to input to intake. Regarding the present study, explicit teaching of grammar during different stages of the treatment leads learners notice the pragmatic aspects of language.

It could also be argued that teachers should not suffice to assume that linguistic mastery of language is the ultimate goal of foreign language instruction. Foreign language learners who are away from the real context, where they can have contact with native speakers, should be taught to make use of pragmalinguistic features of language. Such features could be incorporated into the classroom activities while making use of films, videos as well as authentic materials.

Based on the theoretical assumptions which provided the underlying foundation for the present study and the findings, further longitudinal times series research is needed to investigate the effect of the treatment in the long run and make sure whether the obtained results are due to the treatment and whether they have turned to be part of the learner's input.

APPENDIX

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structional 7	<u>Fargets</u>	-		
Stimulus Types	Refuser Status	Refusals Strategies	Typical Expressions	Situation
Refusals to Invitations	L-H	1. Positive feeling 2. Negative ability 3. Explanation	I'd love to (positive feeling), but I can't (negative feeling), I have to work (explanation).	Refusing a teacher's /a boss's invitation to a party
	E-E	 No Gratitude, Future acceptance Explanation 	No (No), thanks (gratitude), maybe next time (future acceptance), I need to get back and work on my project (explanation).	Refusing a friend's invitation to see a movie
	H-L	1. Gratitude 2. Regret 3. Explanation	Oh, thanks for the invitation (gratitude), Sorry (regret), but I'm not prepared enough to address the group (explanation).	Refusing a junior student's or an employee's invitation to speak for a lecture
Refusals to Suggestions	L-H	 Negative ability, Pause filler Explanation Alternative 	Well, Hmm(pause filler), I would rather not (negative ability). I had planned to take another course next semester (explanation). I'll take the stats after that (alternative).	Refusing an advisor's suggestion to study another course
	E-E	1. Pause filler 2. Positive feeling 3. Explanation	Hum(pause filler). That would be nice if I had time (positive feeling). I'm tired of working on it (explanation).	Refusing a friend's suggestions about a research topic or to try a new design
	H-L	1. Negative ability 2. Explanation 3. Alternative	Well, no (negative ability). Actually it's very important that we review it anyway (explanation). I'll change the design next time (alternative).	Refusing a student's suggestion to skip the details
Refusals to Offers	L-H	 Ppositive feeling (Negative ability), Gratitude Explanation 	It sounds like a great opportunity (positive feeling), but I'm going to have to pass on it (negative ability). No, Thanks (gratitude). I have a number of other things I want to focus on (explanation).	Refusing a dean's offer or a boss's offer
	E-E	1. No 2. Gratitude 3. Explanation	No (No). Thank you you're very kind (gratitude). I don't have far to go and I will be okay (explanation).	Refusing a friend's offer for a ride/a piece of cake
	H-L	 Give a comfort Letting the interlocutor off the hook. 	Don't worry (give a comfort). I know it was an accident (letting the interlocutor off the hook).	Refusing a cleaning lady's paying for broken vase
Refusals to Requests	L-H	1. Explanation 2. Alternative 3. Regret	I have that doctor's appointment (explanation). Can't Carrie (sister) do that for you? (alternative). I'm sorry Mom (regret).	Refusing a mother's request
	E-E	1. Regret 2. Expanation 3. Alternative	I'm sorry (regret), but I need to be glued to this computer until tomorrow morning (explanation). Perhaps someone else does not have such a tight deadline (alternative).	Refusing a friend's request to use a computer
	H-L	1. Positive feeling 2. Regret 3. Explanation	I'd really like to help you out(positive feelin but, sorry, I'm afraid (regret), I'm really strapped for time right now and can't reall afford to (explanation).	request to interview

L-H=a lower refuser to a higher interlocutor, E-E= an equal refuser to an equal interlocutor, H-L= a higher refuser to a lower interlocutor (adopted from Wannaruk, 2008).

Written DCT
In this set of questionnaire, there are some communication situations and you are supposed to interact with someone; however, you should act so
real as if you are the person in that situation. You are required to refuse anything you are told, be it a request, a suggestion, an invitation, or an
offer. Write down whatever response that you would give in a real condition.
1. You are discussing with your professor about your final paper which should be submitted in two weeks. Your professor states that in his/her
next class, there is going to be a guest speaker and invites you to be in his class too, but you cannot. (Invitation: refusal to higher status)
Your professor: Listen, a guest speaker is coming to my next class. He will be talking about a topic pertinent to your paper. Would you like to
attend the class?
What you say in refusal:
2. One of your friends asks you to be with them for dinner; however, you can't bear his/her husband/wife. (Invitation: refusal to equal status)
Your friend: Would you like to come for dinner Sunday night? We are going to have a small get together.
The way you refuse:
3. Being a senior student in the department, a freshman you have met before invites you to have lunch with him/her, but you don't like it.
(Invitation: refusing to lower status)
Freshman: Can I invite you to have lunch with me?
What you say as refusal:
4. Your boss has asked you to find a report and you are looking for it through the piles of stuffs on your desk. At this time, your boss arrives.
(Suggestion: refusing to higher status)
Your boss: I suggest you to make a better organization in your job. Like me, you can try to make notes for yourself not to forget things.
Your refusal:
5. At a friend's house, you are watching TV. He/she offers you a snack. You reject it and say that you are getting fat and are not satisfied with it.
Your friend: This is the diet I told you about before. Do you like to try it? You can lose weight.
What you reply for refusal:
6. You teach language at a university. In the middle of the term, one of your students wants to talk to you.
Student: Sorry, some of my classmates and I were talking about an issue. We think it would be better if you could kindly work more on
conversation and less on grammar. (Suggestions: refusing to equal status)
The way you refuse:
7. You are working in an advertising agency. Your boss offers you a raise in salary and also a promotion if you go to a new office. You like to
stay where you are. Your boss calls you to his office today. (Offer: refusing to higher status)
Boss: What about an executive position in our new Hickton office which is three hours from here by plane. You will also get a good raise in your
salary.
What you say as refusal:
8. You have some money problems. Though your friend offers you some financial help, you don't like to accept it. (Offer: refusing to equal
status)
Your friend: I know you money problem and I want to help you as you have always helped me when I was in need. Can I offer you a \$20 help?
Your refusal reply:
9. You are in your house with one of your friends talking admiringly about the pen you got from your father. Your friend puts the pen on a low
table where your nanny goes past and this causes the pen to fall on the floor and get ruined. (Offer: refusing to lower status)
Nanny: I'm really sorry. I will buy you a new one.
What you say as refusal:
10. Your professor asks you to help him in planning a class party, but have a busy week ahead. (Request: refusing to high status)
Your professor: We need some friends for planning a class party. Can we count on you?
The way you refuse:
11. One of your classmates who is usually absent from classes asks for your class notes, but you don't like to give him the notes. (Request:
refusing to equal status)
Your classmate: Unfortunately, I was absent in the previous class. Could you kindly lend me your notes?
What you say in refusal:
12. Tomorrow you are going to have final exam. As you are studying, a relative of yours asks you to help him with his studies, but you can't do
it. (Request: refusing to lower status)
Your relative: I have some problems with my studies. Could you please help me with them?
The way you refuse:
ine way you relase.

Written Self-Report

Name_

1. Do you feel any difference in your communication in English after the instruction?

Can you give some examples?

2. Do you think that such an instruction was needed? Why?

3. What is your opinion about the method used in teaching American refusals?

4. Do you think that if you are in a real conversation with an English native speaker, you can use the refusal expressions taught here? Do you think the instruction would cause a great difference? Why?

Class_

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